

TRCs for Payment

Code	Type	Title	Short Definition	Definition
120	A	PPO Change Sent to W/H Agency	WHOLD UPDATE	<p>As a result of an accepted Plan-submitted transaction (Transaction Types 51, 61, 73, 74, or 75) or UI update to a beneficiary's records, information has been forwarded to SSA/RRB to update SSA/RRB records and implement any requested premium withholding changes. Any requested change will not take effect until an SSA/RRB acceptance is received. Plans are notified of the SSA/RRB acceptance with a TRC 185 in a future TRR data file.</p> <p>Plan Action: None required. Take the appropriate actions as per CMS enrollment guidance.</p> <p>Note: <i>The Plan will not see the result of any PPO change until they have received a TRC 185 on a future TRR.</i></p>
144	M	PPO changed to Direct Bill	PREM WH OPT CHG	<p>CMS has changed the PPO specified on the transaction to "D - Direct Bill" for one of the following reasons:</p> <ul style="list-style-type: none"> • Retroactive premium withholding was requested. • The beneficiary's retirement system (SSA, RRB or OPM) was unable to withhold the entire premium amount from the beneficiary's monthly check. • The beneficiary has a BIC of M or T and chose "SSA" as the withhold option. SSA cannot withhold premiums for these beneficiaries (there is no benefit check to withhold from). • The beneficiary chose "OPM" as the withhold option. OPM is not withholding premiums at this time. • The Plan has submitted a Part C premium amount that exceeds the maximum Part C premium value provided by HPMS. • RRB Withholding was requested for an effective date prior to 06/01/2011. <p>This TRC may be generated in response to an accepted enrollment, PBP change or PPO Change transaction (Transaction Types 61 or 75) or may be initiated by CMS.</p> <p>Plan Action: Update the Plan's beneficiary records to reflect the Direct Bill payment method. Take the appropriate actions as per CMS enrollment guidance.</p>
170	I	Premium Withhold Option Changed to Direct Billing	PREM WH OPT CHG	<p>The beneficiary's PPO was changed to Direct Billing (D) because the beneficiary is a member of an employer group. Retirees who are members of an employer group cannot elect SSA withholding.</p> <p>This TRC provides additional information about an enrollment, PBP change, or PPO Change transaction (Transaction Types 61, 75) for which an acceptance was sent in a separate Transaction Reply with an enrollment acceptance TRC. The Effective Date of the enrollment for which this information is pertinent is reported in TRR data record field 18.</p> <p>Plan Action: Update the Plan's billing method and contact the beneficiary to explain the consequences of this change.</p>
177	M	Change in Late Enrollment Penalty	NEW PENALTY AMT	<p>This TRC is intended to supply the Plan with additional information about the beneficiary.</p> <p>The beneficiary's total late enrollment penalty has changed. This may be the result of:</p> <ul style="list-style-type: none"> • A change to the beneficiary's number of uncovered months (but there are still uncovered months); • A change to the beneficiary's LIS status; • A new Initial Election Period (IEP); or • The addition, withdrawal, or change in the CMS-granted waiver of penalty. <p>Plan Action: Adjust the beneficiary's payment amount. The new total penalty amount can be determined by subtracting amounts in TRR data record fields 53 (waived amount) and 54 (subsidized amount) from field 52 (base penalty). Take the appropriate actions as per CMS enrollment guidance.</p>
178	M	Late Enrollment Penalty Rescinded	PNLTY RESCINDED	<p>This TRC is intended to supply the Plan with additional information about the beneficiary.</p> <p>The incremental number of uncovered months associated with the specified effective date has been rescinded to zero. The resulting LEP penalty amount reported in TRR data record field 52 (base penalty) is the computed penalty associated with all remaining periods of uncovered months.</p> <p>Plan Action: Adjust the beneficiary's payment amount. Take the appropriate actions as per CMS enrollment guidance.</p>
179	A	Transaction Accepted, No Change to Premium Record	NO CHNG TO PREM	<p>A Record Update transaction (Transaction Type 73, 75, 78) was submitted, however, no data change was made to the beneficiary's premium. The submitted transaction contained premium data values that matched those already on record with CMS for the specified period. This transaction had no effect on the beneficiary's records.</p> <p>Plan Action: Ensure that the Plan's system reflects the amounts in the TRR record.</p>

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182	I	Invalid PTC Premium Submitted, Corrected	PTC PRM OVERRIDE	<p>The Part C premium submitted on the enrollment, PBP change, Enrollment Cancellation, Disenrollment Cancellation or Part C Premium Record Update transaction (Transaction Types 61, 78, 80, or 81) does not agree with the Plan's defined Part C premium rate. The premium has been adjusted to reflect the defined rate. The correct Part C premium rate is reported in TRR data record field 24.</p> <p>If the submitted Part C premium is less than the Basic Part C premium for the plan, MARx will reset the premium to the Part C Basic plus Mandatory Supplemental Premium Rate, Net of Rebate from the HPMS file.</p> <p>This TRC provides additional information about an enrollment, PBP change, Enrollment Cancellation, Disenrollment Cancellation or Part C Premium Record Update transaction (Transaction Types 61, 78, 80, or 81) for which an acceptance was sent in a separate Transaction Reply with an enrollment acceptance TRC. The effective date of the enrollment for which this information is pertinent is reported in TRR data record field 18.</p> <p>Plan Action: Update the Plan's beneficiary records with the premium information in the TRR record. Take the appropriate actions as per CMS enrollment guidance.</p>
185	M	Withholding Agency Accepted Transaction	ACCEPTED	<p>CMS submitted information on a beneficiary to SSA (See TRC 120). TRC 185 is sent to the Plan when SSA acknowledges that they have accepted and processed the beneficiary data.</p> <p>If the submittal to SSA was the result of a requested premium withholding change, TRC 185 informs the Plan that SSA has accepted and processed the change. The beneficiary's PPO is reported in TRR data record field 39 of the transaction reply record. The effective date of the premium payment option change is reported in field 18.</p> <p>Note: <i>The reported new premium payment option may be the same as the existing premium payment option.</i></p> <p>Plans will not see the results of any requested premium withholding changes until TRC 185 is received.</p> <p>Plan Action: Ensure the Plan's system matches the information, primarily the premium payment option, included in the TRR record.</p>
191	A	No Change in Premium Withhold Option	DUP PRM WH OPTN	<p>A PPO Change transaction (Transaction Type 75) was submitted, however, no data change was made to the beneficiary's record for one of the following reasons:</p> <ol style="list-style-type: none"> 1. The submitted transaction contained a PPO value that matched the PPO already on record with CMS. 2. Beneficiary has a premium. Setting the PPO to "no premium", "N", is not acceptable. Beneficiary premium may be due wholly or in part to a late enrollment penalty. 3. Beneficiary premiums are zero. Withholding cannot be established. 4. A PPO request of "Deduct from SSA (S)" or "Deduct from RRB (R)" was submitted on a PPO Change transaction (Transaction Type 75) when the beneficiary has "No Premiums". The PPO was set to "N", which matches the PPO already on record with CMS. <p>This transaction had no effect on the beneficiary's records.</p> <p>Plan Action: None required.</p>
195	M	SSA Unsolicited Response	SSA WHOLD UPDT	<p>An unsolicited response has been received from SSA. The PPO for this beneficiary is set to Direct Bill. This action is not in response to a Plan-initiated transaction.</p> <p>The effective date of the change is reported in TRR data record field 18.</p> <p>Plan Action: Change the beneficiary to Direct Bill as of the effective date in field 18. Take the appropriate actions as per CMS enrollment guidance.</p>
206	I	Part C Premium Has Been Corrected to Zero	PTC PREM ZEROED	<p>An enrollment, PBP change or Part C Premium Update transaction (Transaction Types 61 or 78) was submitted and accepted for a Part D only Plan. This transaction contained an amount other than zero in the Part C premium field. Since a Part C premium does not apply to a Part D only Plan, the Part C premium has been corrected to be zero.</p> <p>This TRC provides additional information about an enrollment, PBP change, or Part C Premium Update transaction (Transaction Types 61 or 78) for which an acceptance was sent in a separate Transaction Reply with an acceptance TRC. The effective date of the enrollment for which this information is pertinent is reported in TRR data record field 18.</p> <p>Plan Action: Update the Plan's records accordingly, ensuring that the beneficiary's information matches zero Part C premium amount included in the TRR record.</p>

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213	I	Premium Withhold Exceeds Safety Net Amount	EXCEED SNET AMT	CMS has changed the PPO specified on the transaction to “D – Direct Bill” because the transaction would result in SSA withholding exceeding the Safety Net amount from the beneficiary’s check in one month. This TRC may be generated in response to an accepted enrollment or PBP change (Transaction Type 61), NUNCMO Record Update (Transaction Type 73), Part C Premium Update (Transaction Type 78), PPO Change (Transaction Type 75), or may be initiated by CMS. Plan Action: Change the beneficiary to Direct Bill and contact them to explain the consequences of the PPO change. Take the appropriate actions as per CMS enrollment guidance.
217	R	Can’t Change Number of Uncovered Months	CANT CHG NUNCMO	An uncovered month’s change transaction (Transaction Type 73) was rejected because the submitted transaction attempted to change the number of uncovered months for an effective date corresponding to a “LEP Reset” transaction in the CMS database. Plan Action: Review CMS enrollment guidance. If appropriate, submit a Number of Uncovered Months Record Update transaction (Transaction Type 73) to UNDO the LEP Reset.
218	M	LEP Reset Undone	LEP RESET UNDNE	CMS has reestablished the beneficiary’s late enrollment penalty (LEP). The previous LEP RESET was removed. Plan Action: Update the Plan’s records accordingly, ensuring that the beneficiary’s LEP information matches the data included in the TRR record. Take the appropriate actions as per CMS enrollment guidance.
219	M	LEP Reset Accepted	LEP RESET	CMS has reset the beneficiary’s number of uncovered months to zero. The Late Enrollment Penalty (LEP) amount is now zero. Plan Action: Update the Plan’s records accordingly, ensuring that the beneficiary’s LEP information matches the data included in the TRR record. Take the appropriate actions as per CMS enrollment guidance.
222	I	Bene Excluded from Transmission to SSA/RRB	BENE EXCLUSION	This TRC can be returned on a reply with various Transaction Types (51, 61, 73, or 78) and the maintenance Transaction Type (01). It is intended to supply the Plan with additional information about the beneficiary. CMS has excluded beneficiary from transmission to SSA/RRB. Plan Action: None required.
240	A	Transaction Received, Withholding Pending	WHOLD UPDATE	As a result of an accepted Plan-submitted transaction to update a beneficiary’s PPO (Transaction Type 75) or a UI update of same, a request will soon be forwarded to SSA. Plans will receive TRC 120 when this request is forwarded to SSA. Plans are notified of the subsequent SSA acceptance or rejection of the PPO change with a TRC 185 or 186, respectively, on a future TRR. All data provided for change other than the PPO field was ignored. Plan Action: Take the appropriate actions as per CMS enrollment guidance. Note: The Plan will not see the result of any PPO change until they have received a TRC 185 on a future TRR.
243	R	Change to SSA Withholding Rejected Due to No SSN	NO SSN AT CMS	A PPO Change transaction (Transaction Type 75) was submitted to change the beneficiary’s PPO to SSA withholding, however, there is no Social Security Number (SSN) on file at CMS. The beneficiary’s PPO is not changed to SSA withholding. The beneficiary’s records were unchanged. Plan Action: Update the Plan’s beneficiary record accordingly. Take the appropriate action with member as per CMS enrollment guidance.
252	I	Prem Payment Option Changed to Direct Bill; No SSN	W/O CHG; NO SSN	CMS has changed the PPO specified on the transaction to “D – Direct Bill” because the beneficiary does not have a Social Security number on file at CMS. This TRC may be generated in response to an accepted Enrollment, PBP change, or PPO Change transaction (Transaction Types 61 or 75), or may be initiated by CMS. Plan Action: Update the Plan’s beneficiary records to reflect the Direct Bill payment method. Take the appropriate actions with member as per CMS enrollment guidance.
253	M	Changed to Direct Bill; no Funds Withheld	W/O CHG; NO W/H	CMS has changed the PPO to “D-Direct Bill” because no funds have been withheld by the withholding agency in the two months since withholding was accepted. Plan Action: Update the Plan’s beneficiary records to reflect the Direct Bill payment method. Take the appropriate actions with member as per CMS enrollment guidance.

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254	I	Beneficiary Set to Direct Bill, Spans Jurisdiction	DIR BIL JRSDCTN	<p>CMS has changed the PPO to “D-Direct Bill” because the withholding request spans two different withholding agency jurisdictional periods. This could occur for one of the following reasons:</p> <ul style="list-style-type: none"> • SSA is the beneficiary’s current withholding agency but the withholding request contains one or more periods from when RRB was the beneficiary’s withholding agency. • RRB is the beneficiary’s current withholding agency but the withholding request contains one or more periods from when SSA was the beneficiary’s withholding agency. <p>Plan Action: Update the Plan’s beneficiary records to reflect the Direct Bill payment method. Take the appropriate actions with member as per CMS enrollment guidance.</p>
255	I	Plan Submitted RRB W/H for SSA Beneficiary	RRB WHOLD 4 SSA	<p>CMS has changed the PPO to “S-SSA Withhold” because SSA is the correct withholding agency for this beneficiary.</p> <p>Plan Action: None required.</p>
256	I	Plan Submitted SSA W/H for RRB Beneficiary	SSA WHOLD 4 RRB	<p>CMS has changed the PPO to “R-RRB Withhold” because RRB is the correct withholding agency for this beneficiary.</p> <p>Plan Action: None required.</p>
262	R	Bad RRB Premium Withhold Effective Date	INVALID EFF DTE	<p>A PPO Change Transaction (Transaction Type 75) was rejected because request for RRB withholding is NOT allowed for Effective Date prior to 6/1/2011.</p> <p>Plan Action: Correct the Effective Date and resubmit.</p>
267	M	PPO set to N Due to No Premium	PPO SET TO N	<p>The beneficiary’s PPO was set to N because their premium is \$0. This occurs as part of an end-of-year process based on the Plan’s basic Part C premium for the upcoming year.</p> <p>Plan Action: Submit a transaction to reset the Part C premium and to renew a request for withholding status if appropriate.</p>
606	I	Direct Bill	DIRECT BILL	<p>This TRC is used for special Enrollment Reconciliation TRRs.</p> <p>This beneficiary has been changed to “Direct Bill” for this enrollment period. Even though a PPO other than D was specified in the transaction, Direct Bill is the only valid option for reconciliation transactions.</p> <p>This transaction response will accompany the acceptance TRC for the submitted discrepancy transaction.</p> <p>Plan Action: Update the Plan’s records accordingly, ensuring that the beneficiary is in Direct Bill status for the enrollment period. Take the appropriate actions as per CMS enrollment guidance.</p>
716	I	UI changed the Number of Uncovered Months	UI CHGD NUNCMO	<p>A CMS User or Plan User with Update Authority updated the beneficiary’s Number of Uncovered Months.</p> <p>Plan Action: Update the Plan’s records accordingly. Ensure that the Plan is billing the correct amount for the LEP. Take the appropriate actions as per CMS enrollment guidance.</p>

Type Legend: A=Accepted; R=Rejected; I=Informational; M=Maintenance; F=Failed